

H-A 03 CO

TRANSMITTAL FORM



Application Serial Number	09/728,192
Filing Date	December 1, 2000
First Named Inventor	Ogier
Group Art Unit	2661
Examiner Name	Not Yet Assigned
Attorney Docket No.	SRI-009C
BATCH NO. (after allowance)	Not applicable
Patent No.	Not applicable
Issue Date	Not applicable

ENCLOSURES (check all that apply)

- | | | |
|---|--|---|
| <input type="checkbox"/> Fee Transmittal Form
<input type="checkbox"/> Check Attached
<input type="checkbox"/> Copy of Fee Transmittal Form

<input type="checkbox"/> Amendment/Response
<input type="checkbox"/> Preliminary
<input type="checkbox"/> After Final
<input type="checkbox"/> Affidavits/declaration(s)
<input type="checkbox"/> Letter to Official Draftsperson including Drawings [Total Sheets ____]

<input type="checkbox"/> Petition for Extension of Time

<input type="checkbox"/> Information Disclosure Statement
<input type="checkbox"/> Form PTO-1449

<input type="checkbox"/> Certified Copy of Priority Document(s)

<input type="checkbox"/> Sequence Listing submission
<input type="checkbox"/> Paper Copy/CD
<input type="checkbox"/> Computer Readable Copy
<input type="checkbox"/> Statement verifying identity of above | <input type="checkbox"/> Copy of Notice to File Missing Parts of Application (PTO-1553)

<input type="checkbox"/> Formal Drawing(s)

<input type="checkbox"/> Request For Continued Examination (RCE) Transmittal

<input type="checkbox"/> Power of Attorney (Revocation of Prior Powers)

<input type="checkbox"/> Terminal Disclaimer

<input type="checkbox"/> Executed Declaration and Power of Attorney for Utility or Design Patent Application

<input type="checkbox"/> Small Entity Statement

<input type="checkbox"/> Amendment After Allowance

<input type="checkbox"/> Request for Certificate of Correction
<input type="checkbox"/> Certificate of Correction (in duplicate) | <input type="checkbox"/> Notice of Appeal to Board of Patent Appeals and Interferences

<input type="checkbox"/> Appeal Brief (in triplicate)

<input type="checkbox"/> Status Inquiry

<input checked="" type="checkbox"/> Return Receipt Postcard

<input checked="" type="checkbox"/> Certificate of First Class Mailing under 37 C.F.R. 1.8

<input type="checkbox"/> Certificate of Facsimile Transmission under 37 C.F.R. 1.8

<input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below)
1. Response to Notice to File Corrected Application Papers (1 pg.);
2. Copies of Formal Drawings (20 sheets);
3. Copy of Petition Regarding Originally-Filed Application Papers (6 pages); and
4. Copy of Notice to File Corrected Application Papers (2 pgs.) |
|---|--|---|

CORRESPONDENCE ADDRESS

Direct all correspondence to: Patent Administrator
 Testa, Hurwitz & Thibault, LLP
 High Street Tower
 125 High Street
 Boston, MA 02110
 Tel. No.: (617) 248-7000
 Fax No.: (617) 248-7100

SIGNATURE BLOCK

Respectfully submitted,

 Michael A. Rodriguez
 Atty/Agent for Applicant(s)
 Testa, Hurwitz & Thibault, LLP
 High Street Tower
 125 High Street
 Boston, MA 02110
 Date: March 27, 2001
 Reg. No. 41,274
 Tel. No.: (617) 248-7501
 Fax No.: (617) 248-7100



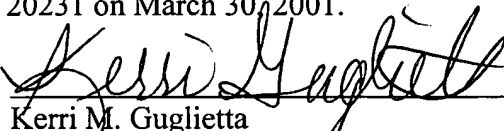
PATENT
Attorney Docket No. SRI-009C
(7565/13)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Ogier
SERIAL NO.: 09/728,192 GROUP NO.: 2661
FILING DATE: December 1, 2000 EXAMINER: Not Yet Assigned
TITLE: A Reduced-Overhead Protocol For Discovering New Neighbor
Nodes And Detecting The Loss Of Existing Neighbor Nodes In A
Network

CERTIFICATE OF FIRST CLASS MAILING UNDER 37 C.F.R. 1.8

I hereby certify that this correspondence, and any documents referred to as enclosed herein, are being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to: Customer Service Center, Assistant Commissioner for Patents, Washington, DC 20231 on March 30, 2001.


Kerri M. Guglietta

Assistant Commissioner for Patents
Washington, D.C. 20231
Attention: Customer Service Center

Sir:

Submitted herewith are:

- (1) Transmittal Form (1 page);
- (2) Response to Notice to File Corrected Application Papers (1 page);
- (3) Copy of Notice to File Corrected Application Papers (1 page);
- (4) Copy of Petition Regarding Originally-Filed Application Papers (6 pages including Exhibits A-D);
- (5) Copies of Formal Drawings (20 sheets); and
- (6) Return Receipt Postcard.

RODRIGUE\7565\13.2049203_1



PATENT
Attorney Docket No. SRI-009C
(7565/13)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Ogier
SERIAL NO.: 09/728,192 GROUP NO.: 2661
FILING DATE: December 1, 2000 EXAMINER: Not yet assigned
TITLE: A Reduced-Overhead Protocol For Discovering New Neighbor Nodes And
Detecting The Loss Of Existing Neighbor Nodes In A Network

RESPONSE TO NOTICE TO FILE CORRECTED APPLICATION PAPERS

Assistant Commissioner for Patents
Washington, D.C. 20231
Attention: Customer Service Center

Sir:

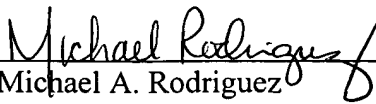
This paper responds to the Notice to File Corrected Application Papers, mailed by the PTO on February 22, 2001. The Notice indicates that substitute drawings must be submitted because the drawing sheets as filed do not have the appropriate margins. The Notice further indicates that Figure 16 was omitted from the application papers. In response to the Notice, Applicant encloses a copy of the Notice To File Corrected Application Papers and copies of the formal drawings that were filed previously with the PTO on February 7, 2001 in compliance with 37 C.F.R. 1.84. Also in response to the Notice, Applicant filed under separate cover a Petition Regarding Originally-Filed Application Papers, contending that Figure 16 was in fact deposited in the PTO along with the non-provisional application papers. Enclosed with this paper is a copy of the Petition.

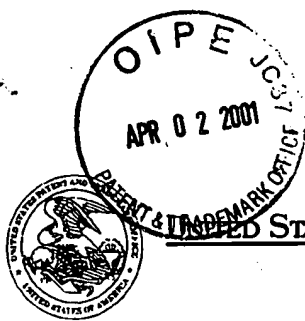
Respectfully submitted,

Date: March 27, 2001
Reg. No. 41,274

Tel. No. (617) 248-7501
Fax: (617) 248-7100

RODRIGUE7565\13.2049209_1


Michael A. Rodriguez
Attorney for Applicants
Testa, Hurwitz, & Thibeault
High Street Tower
125 High Street
Boston, Massachusetts 02110



RECEIVED

Page 1 of 2

MAY 10 2001

Technology Center 2600

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/728,192	12/01/2000	Richard G. Ogier	SRI-009C

CONFIRMATION NO. 4499

021323

TESTA, HURWITZ & THIBEAULT, LLP
HIGH STREET TOWER
125 HIGH STREET
BOSTON, MA 02110

FORMALITIES LETTER



OC000000005788958

Date Mailed: 02/22/2001

NOTICE TO FILE CORRECTED APPLICATION PAPERS

Filing Date Granted

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given **TWO MONTHS** from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a)

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

The following item(s) appear to have been omitted from the application:

- Figure(s) 16 described in the specification.

I. Should applicant contend that the above-noted omitted item(s) was in fact deposited in the Patent and Trademark Office (PTO) with the nonprovisional application papers, a copy of this Notice and a petition (and \$130.00 petition fee (37 CFR 1.17(i))) with evidence of such deposit **must** be filed within **TWO MONTHS** of the date of this Notice. The petition fee will be refunded if it is determined that the item(s) was received by the PTO.

II. Should applicant desire to supply the omitted item(s) and accept the date that such omitted item(s) was filed in the PTO as the filing date of the above-identified application, a copy of this Notice, the omitted item(s) (with a supplemental oath or declaration in compliance with 37 CFR 1.63 and 1.64 referring to such items), and a petition under 37 CFR 1.182 (with the \$130.00 petition fee (37 CFR 1.17(h)) requesting the later filing date **must** be filed within **TWO MONTHS** of the date of this Notice.

III. The failure to file a petition (and petition fee) under the above options (I) or (II) within **TWO MONTHS** of the date of this Notice (37 CFR 1.181(f)) will be treated as a constructive acceptance by the applicant of the application as deposited in the PTO. **THIS TWO MONTH PERIOD IS NOT EXTENDABLE UNDER 37 CFR 1.136(a) or (b).** In the absence of a timely filed petition in reply to this Notice, the application will maintain a filing date as of the date of deposit of the application papers in the PTO, and original application papers (i.e., the original disclosure of the invention) will include only those application papers present in the PTO on the date of deposit.

In the event that applicant elects not to take action pursuant to options (I) or (II) above (thereby constructively electing option (III)), amendment of the specification to renumber the pages consecutively and cancel incomplete sentences caused by any omitted page(s), and/or amendment of the specification to cancel all references to any omitted drawing(s), relabel the drawing figures to be numbered consecutively (if necessary), and correct the references in the specification to the drawing figures to correspond with any relabelled drawing figures, is required. Any drawing changes should be accompanied by a copy of the drawing figures showing the proposed changes in red ink. Such amendment and/or correction to the drawing figures, if necessary, should be by way of preliminary amendment submitted prior to the first Office action to avoid delays in the prosecution of the application.

*A copy of this notice **MUST** be returned with the reply.*

RECEIVED

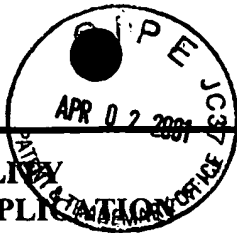
MAY 10 2001

Technology Center 2800

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



Express Mail Mailing Label No. EL692235085US

UTILITY PATENT APPLICATION TRANSMITTAL (Only for new nonprovisional applications under 37 CFR 1.53(b))	Attorney Docket No.	SRI-009C (7565/13)
	First Named Inventor	Ogier
	Title	A Reduced-Overhead Protocol for Discovering New Neighbor Nodes and Detecting the Loss of Existing Neighbor Nodes in a Network

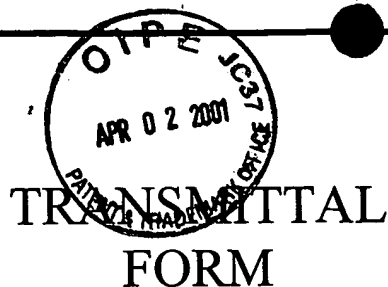
APPLICATION ELEMENTS	ADDRESS TO: Box Patent Application Assistant Commissioner for Patents Washington, D.C. 20231
1. <input checked="" type="checkbox"/> Fee Transmittal Form	ACCOMPANYING APPLICATION PARTS
2. <input checked="" type="checkbox"/> Specification and Drawings [Total Pages 116] - Written Description - (84 pages) - Claims - (3 pages) - Abstract - (1 page) - Appendix A - (8 pages) - Sheets of Drawings - (20 sheets) <input type="checkbox"/> Formal <input checked="" type="checkbox"/> Informal	7. <input type="checkbox"/> 37 CFR 3.73(b) Statement (when there is an assignee) <input type="checkbox"/> Power of Attorney
3. <input checked="" type="checkbox"/> Oath or Declaration [Total Pages 3] a. <input checked="" type="checkbox"/> Newly executed (original) b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 17 completed) [Note Box 4 below]	8. <input type="checkbox"/> English Translation Document (if applicable)
4. <input type="checkbox"/> Incorporation by Reference (usable if Box 3b is checked) The entire Disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 3b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.	9. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations
5. <input type="checkbox"/> Microfiche Computer Program (Appendix)	10. <input type="checkbox"/> Preliminary Amendment <input type="checkbox"/> Drawings [Total Sheets] <input type="checkbox"/> Letter to Official Draftsperson Including Drawings [Total Pages]
6. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission <input type="checkbox"/> Computer Readable Copy <input type="checkbox"/> Paper Copy (identical to computer copy) <input type="checkbox"/> Statement verifying identity of above copies	11. <input checked="" type="checkbox"/> Return Receipt Postcard
17. <input type="checkbox"/> If a CONTINUING APPLICATION, check appropriate box and supply the requisite information: <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application Serial No. ____/____. Priority to the above application(s) is claimed under 35 U.S.C. 120. Prior application information: Examiner: ____ Group/Art Unit: ____	12. <input checked="" type="checkbox"/> Small Entity Statement <input checked="" type="checkbox"/> Statements filed in prior application, (Status still proper and desired)
18. <input checked="" type="checkbox"/> Priority - 35 U.S.C. 119 <input checked="" type="checkbox"/> Priority of application Serial No. 60/232,046 filed on September 12, 2000 in U.S. is claimed under 35 U.S.C. 119. <input type="checkbox"/> The certified copy has been filed in prior U.S. application Serial No. ____/____ on ____. <input type="checkbox"/> The certified copy will follow.	13. <input type="checkbox"/> Certified Copy of Priority Document(s)
CORRESPONDENCE ADDRESS Direct all correspondence to: Patent Administrator Testa, Hurwitz & Thibault, LLP High Street Tower 125 High Street Boston, MA 02110 Tel. No.: (617) 248-7000 Fax No.: (617) 248-7100	14. <input type="checkbox"/> Deletion of Inventor(s) Signed statement attached deleting inventor(s) named in the prior application.
	15. <input checked="" type="checkbox"/> Patent Application Data Entry Form (1 page)
	16. <input type="checkbox"/> Other:
SIGNATURE BLOCK	
	Date: December 1, 2000 Reg. No. 41,274 Tel. No.: (617) 248-7501 Fax No.: (617) 248-7100
	Respectfully submitted, <i>Michael A. Rodriguez</i> Michael A. Rodriguez Atty/Agent for Applicant(s) Testa, Hurwitz & Thibault, LLP High Street Tower 125 High Street Boston, MA 02110

RODRIGUEV7565\13.1118071_1

RECEIVED

APR 03 2001

OFFICE OF PETITIONS



Application Serial Number	09/728,192
Filing Date	December 1, 2000
First Named Inventor	Ogier
Group Art Unit	2661
Examiner Name	Not Yet Assigned
Attorney Docket No.	SRI-009C
BATCH NO. (after allowance)	Not applicable
Patent No.	Not applicable
Issue Date	Not applicable

RECEIVED

MAY 10 2001

Technology Center 2600

ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Check Attached <input type="checkbox"/> Copy of Fee Transmittal Form <input type="checkbox"/> Amendment/Response <input type="checkbox"/> Preliminary <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Letter to Official Draftsperson including Drawings [Total Sheets _____] <input type="checkbox"/> Petition for Extension of Time <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Form PTO-1449 <input type="checkbox"/> Copies of IDS Citations <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Sequence Listing submission <input type="checkbox"/> Paper Copy/CD <input type="checkbox"/> Computer Readable Copy <input type="checkbox"/> Statement verifying identity of above	<input type="checkbox"/> Copy of Notice to File Missing Parts of Application (PTO-1553) <input type="checkbox"/> Formal Drawing(s) <input type="checkbox"/> Request For Continued Examination (RCE) Transmittal <input type="checkbox"/> Power of Attorney (Revocation of Prior Powers) <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Executed Declaration and Power of Attorney for Utility or Design Patent Application <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> CD(s) for large table or computer program <input type="checkbox"/> Amendment After Allowance <input type="checkbox"/> Request for Certificate of Correction <input type="checkbox"/> Certificate of Correction (in duplicate)	<input type="checkbox"/> Notice of Appeal to Board of Patent Appeals and Interferences <input type="checkbox"/> Appeal Brief (in triplicate) <input type="checkbox"/> Status Inquiry <input checked="" type="checkbox"/> Return Receipt Postcard <input checked="" type="checkbox"/> Certificate of First Class Mailing under 37 C.F.R. 1.8 <input type="checkbox"/> Certificate of Facsimile Transmission under 37 C.F.R. 1.8 <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below) <ol style="list-style-type: none"> 1. Petition Regarding Originally-Filed Application Papers; 2. Copy of Notice To File Corrected Application Papers
---	--	--

CORRESPONDENCE ADDRESS

Direct all correspondence to: Patent Administrator
 Testa, Hurwitz & Thibault, LLP
 High Street Tower
 125 High Street
 Boston, MA 02110
 Tel. No.: (617) 248-7000
 Fax No.: (617) 248-7100

SIGNATURE BLOCK

Date: March 26, 2001
 Reg. No. 41,274
 Tel. No.: (617) 248-7501
 Fax No.: (617) 248-7100

Respectfully submitted,

Michael Rodriguez
 Michael A. Rodriguez
 Atty/Agent for Applicant(s)
 Testa, Hurwitz & Thibault, LLP
 High Street Tower
 125 High Street
 Boston, MA 02110



PATENT
Attorney Docket No. SRI-009C
(7565/13)

RECEIVED

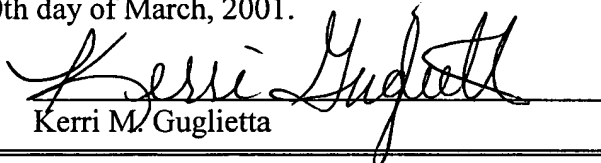
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE MAY 10 2001

Technology Center 2600

APPLICANT: Ogier
SERIAL NO.: 09/728,192 GROUP NO.: 2661
FILING DATE: February 11, 2000 EXAMINER: Not Yet Assigned
TITLE: A Reduced-Overhead Protocol For Discovering New Neighbor
Nodes And Detecting The Loss Of Existing Neighbor Nodes In A
Network

CERTIFICATE OF FIRST CLASS MAILING UNDER 37 C.F.R. 1.8

I hereby certify that this correspondence, and any document(s) referred to as enclosed herein, are being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to Box DAC Assistant Commissioner for Patents, Washington, DC 20231 on this 30th day of March, 2001.


Kerri M. Guglietta

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Submitted herewith are:

1. Transmittal Form (1 page);
2. Fee Transmittal (1 page);
3. Check in the amount of \$130.00;
4. Petition Regarding Originally-Filed Application Papers (6 pages including Exhibits A-D);
5. Copy of Notice To File Corrected Application Papers (2 pages);
and
6. Return receipt postcard.

RODRIGUE\7565\13.2051991_1

RECEIVED

APR 03 2001

OFFICE OF PETITIONS



FEE TRANSMITTAL

MAY 2001

Complete Known

Application Serial Number	09/728,192
Filing Date	December 1, 2000
First Named Inventor	Ogier
Group Art Unit	2661
Examiner Name	Not Yet Assigned
Attorney Docket No.	SRI-009C

RECEIVED

MAY 10 2001

METHOD OF PAYMENT

1. ☒ Payment Enclosed:
☒ Check ☐ Money Order ☐ Other
2. ☒ The Commissioner is hereby authorized to credit or charge any fee indicated below for this submission to Deposit Account No. 20-0531.
☐ Required Fees (copy of this sheet enclosed).
☒ Additional fee required under 37 CFR 1.16 and 1.17.
☒ Overpayment Credit.
3. ☐ Applicant claims small entity status.

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
130	65	Surcharge - late filing fee or oath	
50	25	Surcharge - late provisional filing fee or cover sheet	
130	130	Non-English specification	
2,520	2,520	For filing a request for reexamination	
110	55	Extension for reply within first month	
390	195	Extension for reply within second month	
890	445	Extension for reply within third month	
1,390	695	Extension for reply within fourth month	
1,890	945	Extension for reply within fifth month	
310	155	Notice of Appeal	
310	155	Filing a brief in support of an appeal	
270	135	Request for oral hearing	
130	130	Petitions to the Commissioner	130
50	50	Petitions related to provisional applications	
180	180	Submission of Information Disclosure Statement	
710	355	Filing a submission after final rejection (37 CFR 1.129(a))	
710	355	For each additional invention to be examined (37 CFR 1.129(b))	

Technology Center 2600

FEE CALCULATION

1. FILING FEE

Large Entity

Fee (\$)	Fee Description	Fee Paid
710	Utility filing fee	
320	Design filing fee	
150	Provisional filing fee	

	Number Filed	Number Extra	Rate	Amount
--	--------------	--------------	------	--------

Total Claims - 20 = x \$ 18.00 =

Independent Claims - 3 = x \$ 80.00 =

☐ Multiple Dependent Claim(s), if any \$270.00 =

TOTAL:

SMALL ENTITY DISCOUNT:

SUBTOTAL (1) (\$)

2. AMENDMENT CLAIM FEES

Claims Remaining After Amend.	Highest No. Previously Paid For	Present Extra	Rate	Fee Paid
-------------------------------	---------------------------------	---------------	------	----------

Total - = x \$ 18.00 =

Indep. - = x \$ 80.00 =

☐ First Presentation of Multiple Dep. Claim + \$270.00 =

TOTAL: (\$)

SMALL ENTITY DISCOUNT: (\$)

SUBTOTAL (2) (\$)

SUBTOTAL (3) (\$) 130

RECEIVED

APR 03 2001

OFFICE OF PETITIONS

SUBTOTAL (1)

SUBTOTAL (2)

SUBTOTAL (3) 130

TOTAL (\$) 130.00

CORRESPONDENCE ADDRESS

Direct all correspondence to:

Patent Administrator
Testa, Hurwitz & Thibault, LLP
High Street Tower-125 High Street
Boston, MA 02110
Tel. No.: (617) 248-7000
Fax No.: (617) 248-7100

SIGNATURE BLOCK

Respectfully submitted,

Date: March 26, 2001
Reg. No.: 41,274
Tel. No.: (617) 248-7501
Fax No.: (617) 248-7100

Michael A. Rodriguez
Michael A. Rodriguez
Attorney for the Applicants
Testa, Hurwitz & Thibault, LLP
High Street Tower-125 High Street
Boston, MA 02110